

# The Local Version of Ehresmann's Theorem

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Consider a submersion  $f : M \rightarrow N$ . The vertical distribution of  $f$  is the sub-bundle

$$V = \ker(Df) \subseteq TM.$$

A horizontal distribution is any sub-bundle  $H \subseteq TM$  complementary to  $V$ , which means that  $V \oplus H = TM$  (such a sub-bundle always exists; for example, if we put a Riemannian metric on  $M$ , we can set  $H = V^\perp$ ). The restricted tangent mapping depicted below is a fiberwise isomorphism:

$$\begin{array}{ccc} H & \xrightarrow{Df} & TN \\ \pi_M \downarrow & & \downarrow \pi_N \\ M & \xrightarrow{f} & N \end{array}$$

Thus any vector field  $X \in \mathfrak{X}(N)$  has a unique horizontal lift, i.e. a vector field  $\tilde{X} \in \mathfrak{X}(M)$  that is everywhere tangent to  $H$  and  $f$ -related to  $X$ . We will use this lifting property to prove the following theorem:

**Theorem.** For any  $x \in M$ , let  $F_x$  denote the connected component of  $f^{-1}(f(x))$ . For any  $x \in M$  such that  $F_x$  is compact, there exists an open set  $U \ni f(x)$  and an open embedding  $\Phi : U \times F_x \rightarrow M$ , which satisfies:

- $f \circ \Phi(c, y) = c$  for all  $c \in U$  and  $y \in F_x$ ;
- $\Phi(f(x), y) = y$  for all  $y \in F_x$ .

In other words, the map  $\Phi$  is a local trivialization that restricts to the inclusion of  $F_x$  over the point  $f(x)$ .

*Proof.* If  $U$  is a chart on  $N$  that contains  $f(x)$ , we may restrict our view to the map  $f : f^{-1}(U) \rightarrow U$  without loss of generality. As such, we may assume that  $N = \mathbb{R}^n$  and  $f(x) = 0$ . For each  $i = 1, \dots, n$ , let  $X_i$  denote the horizontal lift of  $\partial/\partial x_i$ . Each  $X_i$  admits a maximal flow domain  $\mathcal{D}_i \subseteq M \times \mathbb{R}$  and a flow  $\psi_i : \mathcal{D}_i \rightarrow M$ . We will inductively define neighborhoods  $U_i \subseteq \mathbb{R}^i$  of the origin and immersions  $\Phi_i : U_i \times F_x \rightarrow M$  such that:

- $f \circ \Phi_i(c, y) = j_i(c)$  for all  $c \in U_i$  and  $y \in F_x$ , where  $j_i : \mathbb{R}^i \rightarrow \mathbb{R}^n$  is inclusion in the first  $i$  coordinates;
- $\Phi_i(0_i, y) = y$  for all  $y \in F_x$ , where  $0_i$  denotes the origin in  $\mathbb{R}^i$ .

Then setting  $U = U_n$  and  $\Phi = \Phi_n$  will complete the proof. Indeed, the desired properties of  $\Phi$  follow immediately from the corresponding properties of  $\Phi_n$ . In particular, the fact that  $\Phi$  is an open embedding follows from the fact that  $\Phi_n$  is an immersion and the following “rank-nullity” calculation:

$$\dim(U \times F_x) = \dim U + \dim F_x = \dim N + \dim f^{-1}(x) = \dim M.$$

First, we define  $\Phi_0 : F_x \rightarrow M$  to be the inclusion map. This clearly satisfies all of the desired conditions. Now fix  $i < n$  and suppose that we have constructed  $U_i$  and  $\Phi_i$  with the desired properties. Define a map

$$\iota : U_i \times \mathbb{R} \times F_x \rightarrow M \times \mathbb{R} \quad \text{by} \quad \iota(t_1, \dots, t_n, t, y) = (\Phi_i(t_1, \dots, t_n, y), t).$$

If we write  $\mathcal{E} = \iota^{-1}(\mathcal{D}_{i+1})$ , then  $\mathcal{E}$  is open in  $U_i \times \mathbb{R} \times F_x$  and thus in  $\mathbb{R}^{i+1} \times F_x$ . For any  $y \in F_x$ , we have

$$\iota(0_i, 0, y) = (\Phi_i(0_i, y), 0) = (y, 0) \in \mathcal{D}_{i+1}$$

by our second assumption on  $\Phi_i$  and the definition of a flow domain, so  $\mathcal{E}$  is a neighborhood of  $\{0_{i+1}\} \times F_x$ . Since  $\iota(\mathcal{E}) \subseteq \mathcal{D}_{i+1}$ , we let the map  $\Phi_{i+1} : \mathcal{E} \rightarrow M$  be defined by  $\Phi_{i+1} = \psi_{i+1} \circ \iota$ . More explicitly, we have

$$\Phi_{i+1}(t_1, \dots, t_i, t_{i+1}, y) = \psi_{i+1}(\Phi_i(t_1, \dots, t_i, y), t_{i+1}).$$

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Because the vector fields  $X_{i+1}$  and  $\partial/\partial x_{i+1}$  are  $f$ -related, we can observe that  $f \circ \psi_{i+1}(z, t) = f(z) + te_{i+1}$  for any  $(z, t) \in \mathcal{D}_{i+1}$ . Combining this with our first assumption on  $\Phi_i$ , we get

$$\begin{aligned} f \circ \Phi_{i+1}(t_1, \dots, t_i, t_{i+1}, y) &= f \circ \psi_{i+1}(\Phi_i(t_1, \dots, t_i, y), t_{i+1}) \\ &= f \circ \Phi_i(t_1, \dots, t_i, y) + t_{i+1}e_{i+1} \\ &= j_i(t_1, \dots, t_i) + t_{i+1}e_{i+1} \\ &= j_{i+1}(t_1, \dots, t_i, t_{i+1}). \end{aligned}$$

Since  $j_{i+1}$  is obviously an immersion, this equality implies that  $D\Phi_{i+1}$  cannot annihilate any vectors tangent to a slice  $\mathcal{E} \cap (\mathbb{R}^{i+1} \times \{y\})$  (where  $y \in F_x$  is arbitrary). Using the second assumption on  $\Phi_i$ , we also have

$$\Phi_{i+1}(0_{i+1}, y) = \psi_{i+1}(\Phi_i(0_i, y), 0) = \psi_{i+1}(y, 0) = y.$$

In particular, this fact implies that  $D\Phi_{i+1}$  cannot annihilate any vectors tangent to the slice  $\{0_{i+1}\} \times F_x$ . Combining this with the above observation, we now see that  $D\Phi_{i+1}$  is injective at any point in  $\{0_{i+1}\} \times F_x$ . The rank of a smooth map is lower semi-continuous, so there is an open set  $W \subseteq \mathcal{E}$  containing  $\{0_{i+1}\} \times F_x$  on which  $\Phi_{i+1}$  is an immersion. Since  $F_x$  is compact, there is some neighborhood  $U_{i+1} \subseteq \mathbb{R}^{i+1}$  of the origin with  $U_{i+1} \times F_x \subseteq W$ .<sup>1</sup> This completes the inductive step and thus completes the proof of the theorem.  $\square$

**Corollary.** The set  $\{x \in M : F_x \text{ is compact}\}$  is open in  $M$ .

*Proof.* Suppose that  $F_x$  is compact and let  $\Phi : U \times F_x \rightarrow M$  be as in the above theorem. Then  $\Phi(U \times F_x)$  is open in  $M$  and we will show that  $F_y$  is compact for any  $y \in \Phi(U \times F_x)$ . Suppose  $y = \Phi(u, z)$  with  $u \in U$  and  $z \in F_x$ . Then  $S = \Phi(\{u\} \times F_x)$  is a compact, connected submanifold of  $M$  satisfying  $y \in S \subseteq f^{-1}(u)$ . Because  $f$  is a submersion, all of its fibers have the same dimension and thus

$$\dim S = \dim F_x = \dim f^{-1}(f(x)) = \dim f^{-1}(u).$$

Since  $S \subseteq f^{-1}(u)$  is a full-dimensional submanifold, it is open in  $f^{-1}(u)$ . But we also know that  $S$  is compact and connected, so it must be a connected component of  $f^{-1}(u) = f^{-1}(f(y))$ . But  $y \in S$ , so we get  $F_y = S$ , from which we can immediately conclude that  $F_y$  is compact.  $\square$

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<sup>1</sup>If  $X$  is compact and  $p \in Y$ , then any open set  $W \subseteq X \times Y$  containing  $X \times \{p\}$  must also contain a set of the form  $X \times U$ , where  $U \subseteq Y$  is an open set containing  $p$ . The proof is a straightforward application of the common “union/intersection trick” that is applied to prove various point-set-topological properties involving compactness (e.g. compact Hausdorff  $\implies$  regular).