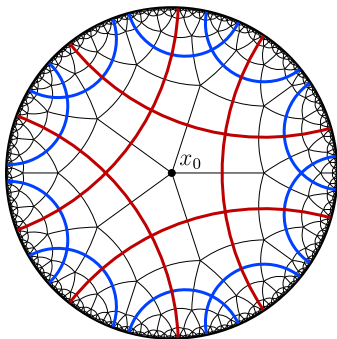


# Rational Actions of Hyperbolic Groups



Jim Belk, University of St Andrews

*joint with Collin Bleak and Francesco Matucci*

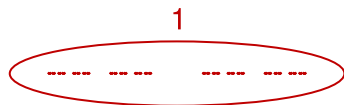
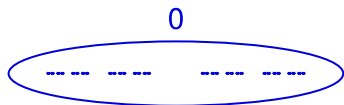
# Homeomorphisms of the Cantor Set

The **Cantor set**  $C$  is the space  $\{0, 1\}^\omega$  of all infinite binary sequences.

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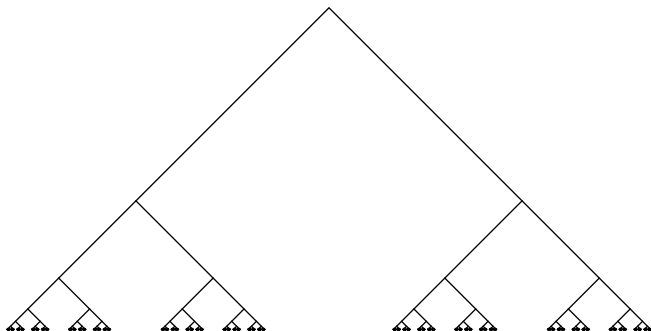
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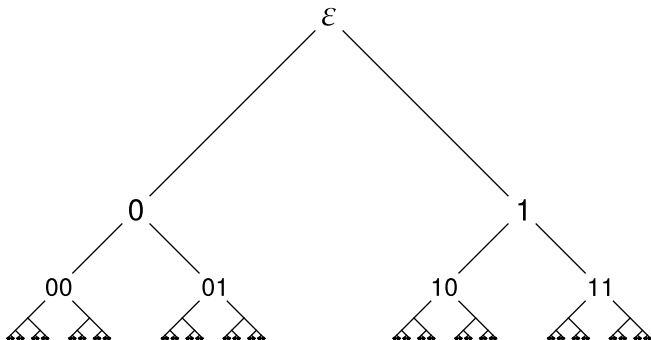
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The **Cantor set**  $C$  is the space  $\{0, 1\}^\omega$  of all infinite binary sequences.

## Theorem (Anderson 1958)

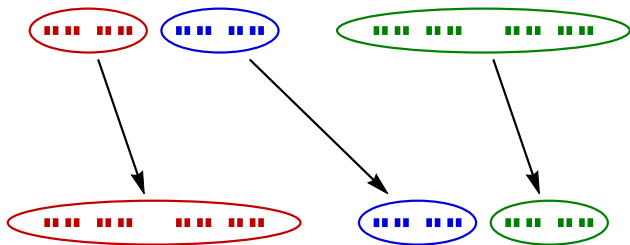
*The full group  $\text{Homeo}(C)$  of homeomorphisms of  $C$  is an uncountable simple group.*

$\text{Homeo}(C)$  has many interesting subgroups.

-----

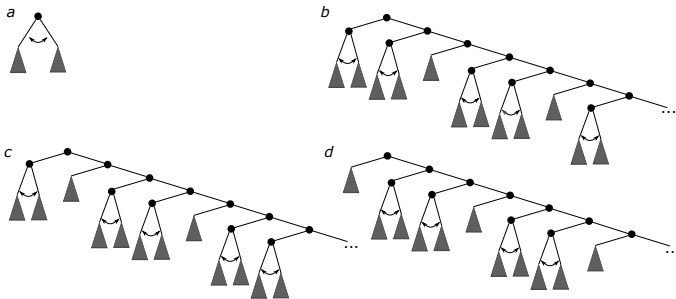
# Homeomorphisms of the Cantor Set

Thompson's groups  $F$ ,  $T$ , and  $V$



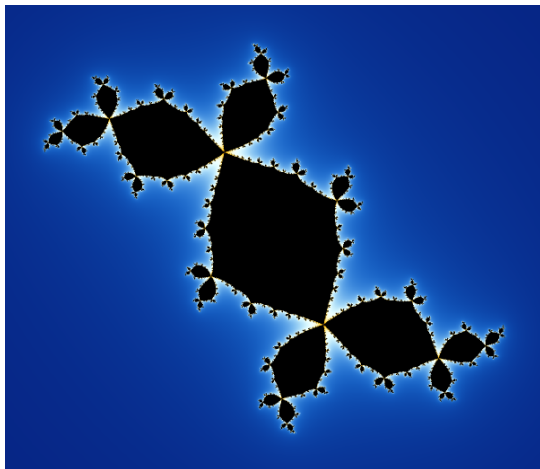
# Homeomorphisms of the Cantor Set

## Grigorchuk's group



# Homeomorphisms of the Cantor Set

## Iterated monodromy groups

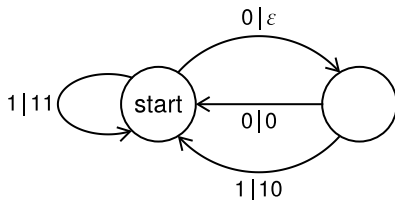


# Homeomorphisms of the Cantor Set

Definition (Grigorchuk, Nekrashevych, Sushchanskii)

A homeomorphism of  $C$  is **rational** if it can be defined by a finite-state automaton.

The group of all such homeomorphisms is the **rational group**  $\mathcal{R}$ .



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The group of all such homeomorphisms is the **rational group**  $\mathcal{R}$ .

Theorem (GNS 2000)

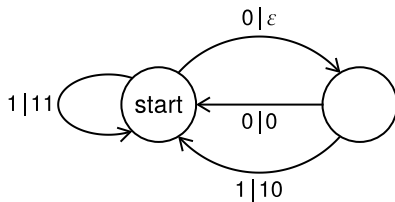
*Subgroups of  $\mathcal{R}$  include:*

1. *Thompson's groups  $F$ ,  $T$ , and  $V$ .*
2. *Grigorchuk's group and other self-similar groups.*
3. *The automorphism group of a full shift (one or two-sided) over a finite alphabet.*

# Automata

# Automata

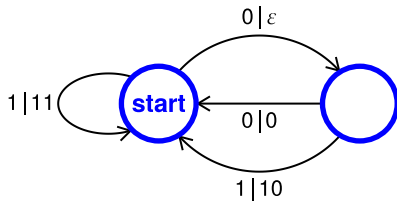
A **finite-state automaton** is a machine for processing binary strings.





# Automata

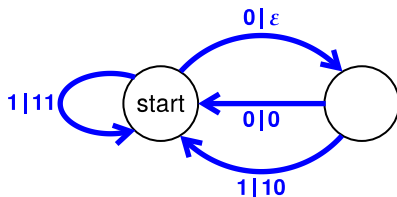
A **finite-state automaton** is a machine for processing binary strings.



It has finitely many **states**, one of which is the **start state**.

# Automata

A **finite-state automaton** is a machine for processing binary strings.



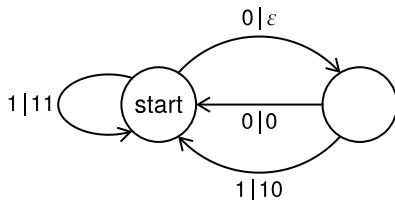
There are **transitions** between the states:

$$\xrightarrow{p | q} \text{ input } p \text{ and output } q.$$

The **input** must be 0 or 1, but the **output** can be any binary string.

# Automata

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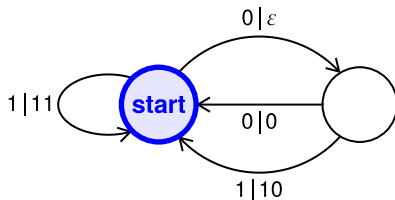
**Input String:**

1 1 0 1 0 0 1 0 0 0 ...

**Output String:**

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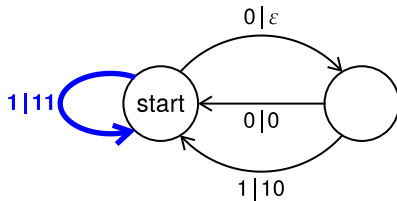
**Input String:**

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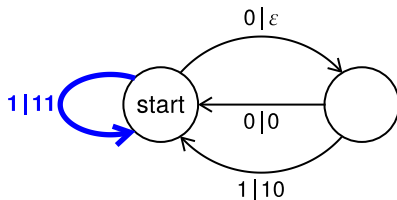
**Input String:**

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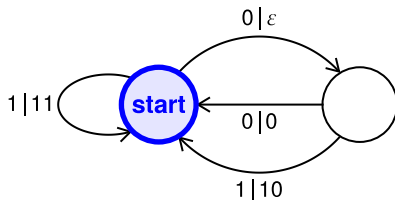
1 | 1 0 1 0 0 1 0 0 0 ...

**Output String:**

1 1

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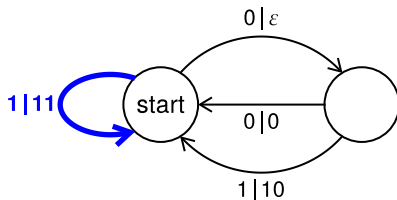
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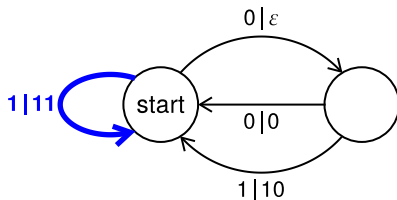
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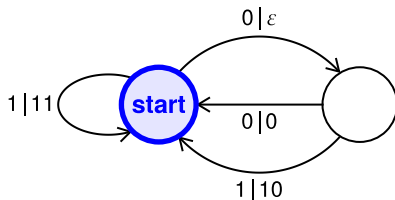
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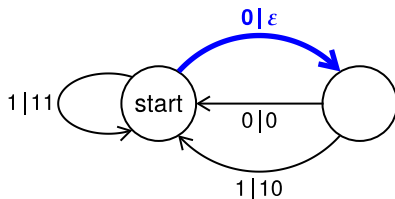
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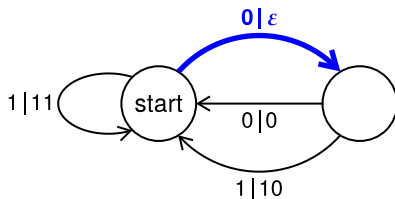
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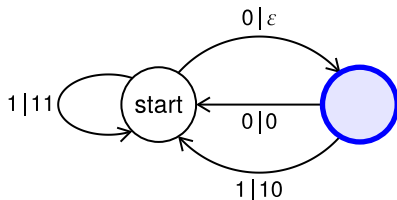
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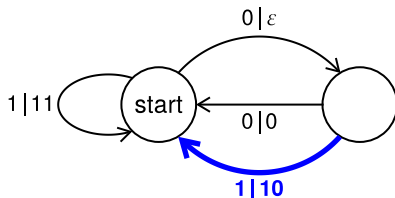
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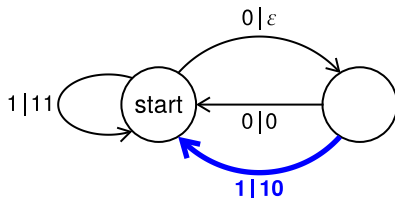
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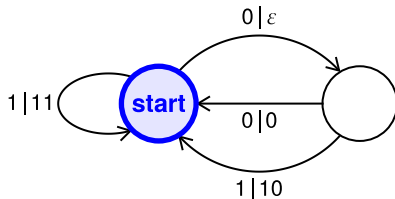
1 1 0 **1** | 0 0 1 0 0 0 0 ...

**Output String:**

1 1 1 1 **1** **0**

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1 1 0 1 | 0 0 1 0 0 0 ...

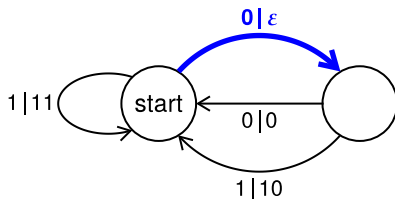
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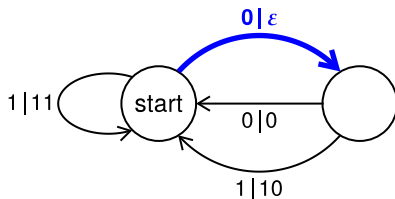
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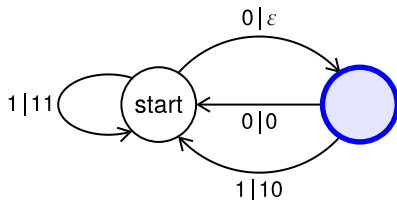
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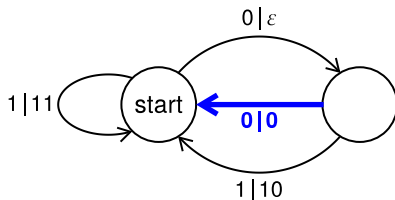
1 1 0 1 0 | 0 1 0 0 0 ...

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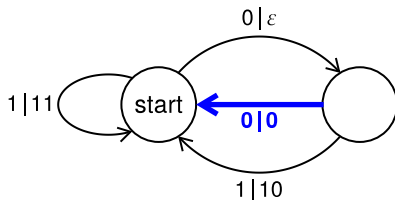
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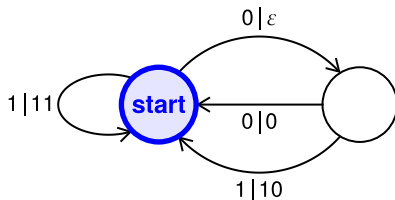
1 1 0 1 0 **0** | 1 0 0 0 ...

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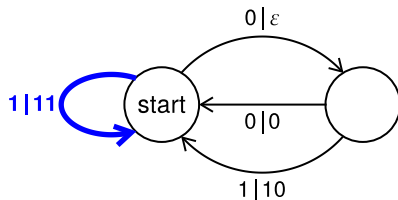
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**Output String:**

1 1 1 1 1 0 0

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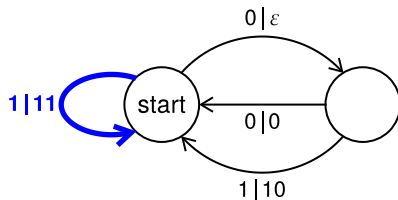
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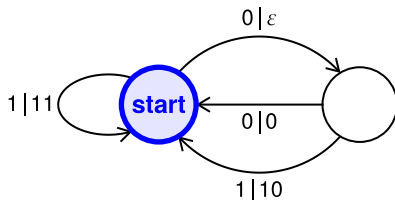
**Output String:**

1 1 1 1 1 0 0 **1 1**



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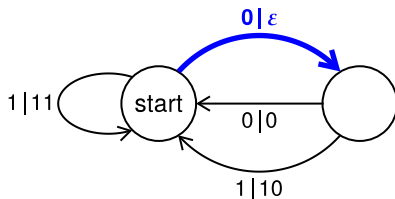
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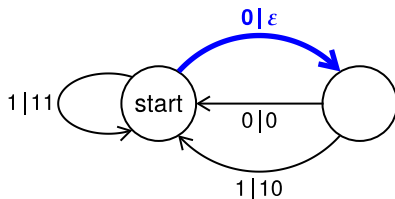
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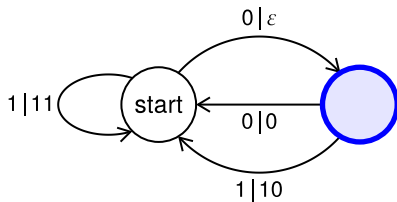
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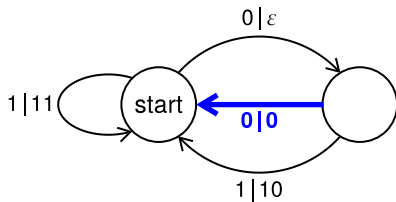
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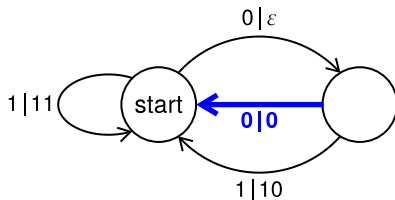
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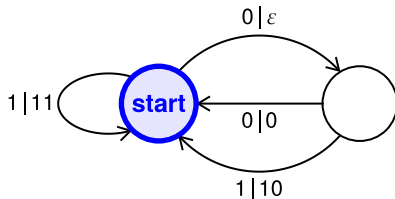
1 1 0 1 0 0 1 0 **0** | 0 ...

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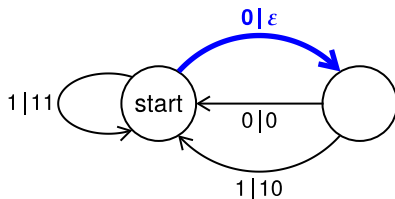
1 1 0 1 0 0 1 0 0 | 0 ...

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**Input String:**

1 1 0 1 0 0 1 0 0 | 0 ...

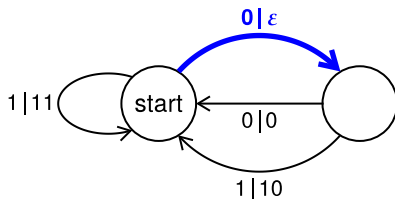
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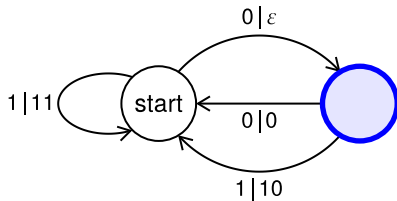
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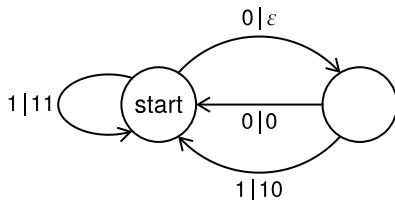
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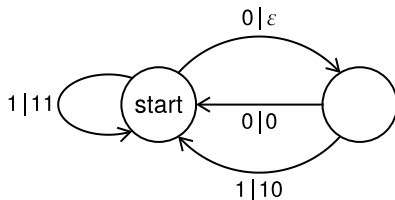
1 1 0 1 0 0 1 0 0 0 ...

**Output String:**

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# Automata

A **finite-state automaton** is a machine for processing binary strings.



This defines a **rational function**

$$f: \{0, 1\}^\omega \longrightarrow \{0, 1\}^\omega$$

Such a function is a homeomorphism as long as it is bijective.

# The Rational Group

Definition (Grigorchuk, Nekrashevych, Sushchanskii)

The group of all rational homeomorphisms of  $\{0, 1\}^\omega$  is the **rational group**  $\mathcal{R}$ .

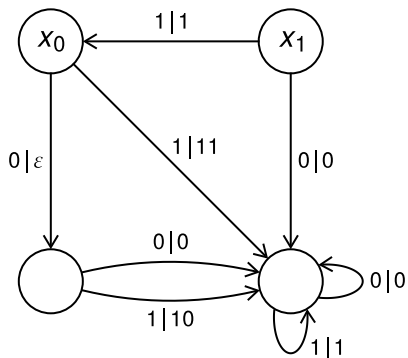
Theorem (B, Hyde, Matucci 2017)

- ▶  $\mathcal{R}$  is simple.
- ▶  $\mathcal{R}$  is not finitely generated.

An **automata group** is any finitely generated subgroup of  $\mathcal{R}$ .

## Example: Thompson's Group $F$

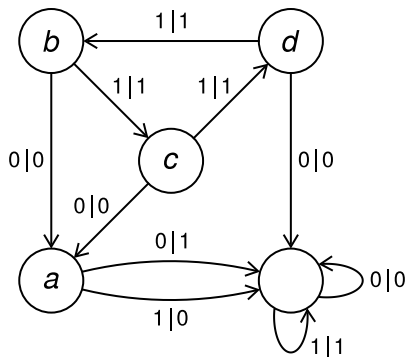
The following automaton defines two rational homeomorphisms  $x_0$  and  $x_1$ :



The group  $\langle x_0, x_1 \rangle$  is **Thompson's group  $F$** .

## Example: Grigorchuk's Group

The following automaton defines four rational homeomorphisms  $a$ ,  $b$ ,  $c$ , and  $d$ :



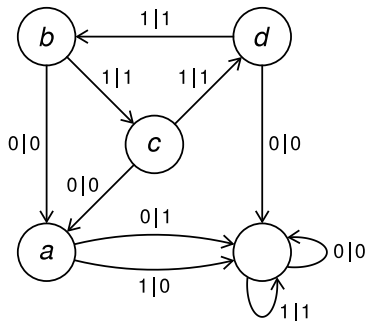
The group  $\langle a, b, c, d \rangle$  is **Grigorchuk's group**.

# Two Notes

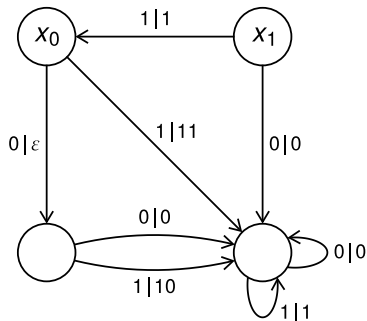


# 1. Synchronous vs. Asynchronous

Grigorchuk's group is a **synchronous** automata group, but Thompson's group  $F$  is **asynchronous**.



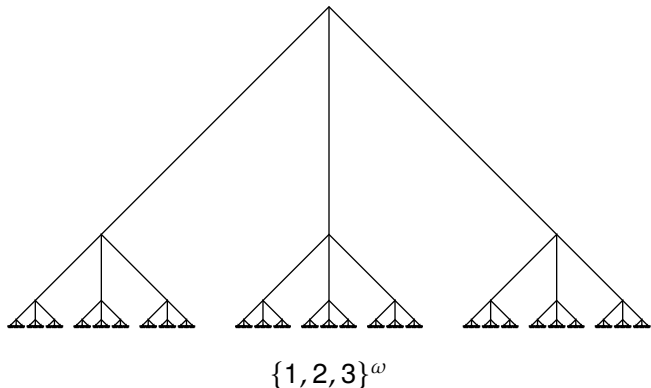
**synchronous**



**asynchronous**

## 2. Other Finite Alphabets

Grigorchuk, Nekrashevych, and Sushchanskiĭ also considered rational homeomorphisms with respect to larger alphabets.



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$$\text{-----} \quad \text{-----} \quad \text{-----}$$
$$\{1, 2, 3\}^\omega$$

## 2. Other Finite Alphabets

Theorem (Grigorchuk, Nekrashevych, Sushchanskiĭ)

*For each  $n \geq 3$ , the group  $\mathcal{R}_n$  of rational homeomorphisms of  $\{1, 2, \dots, n\}^\omega$  is isomorphic to  $\mathcal{R}$ .*

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Theorem (Grigorchuk, Nekrashevych, Sushchanskiĭ)

*For each  $n \geq 3$ , the group  $\mathcal{R}_n$  of rational homeomorphisms of  $\{1, 2, \dots, n\}^\omega$  is isomorphic to  $\mathcal{R}$ .*

### Example

For  $n = 3$ , define a homeomorphism  $h: \{1, 2, 3\}^\omega \rightarrow \{0, 1\}^\omega$  by

$$1 \mapsto 00, \quad 2 \mapsto 01, \quad 3 \mapsto 1.$$

Then conjugation by  $h$  is an isomorphism from  $\mathcal{R}_3$  to  $\mathcal{R}$ .

# Rational Embeddings

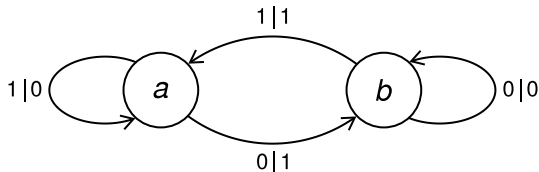
# Rational Embeddings

## Question

Which finitely generated groups  $G$  can be realized as automata groups?

## Example (The Lamplighter Group)

Grigorchuk and Zuk (2000) realized the group  $\mathbb{Z}_2 \wr \mathbb{Z}$  using synchronous automata:



Here  $\langle a, b \rangle \cong \mathbb{Z}_2 \wr \mathbb{Z}$ .

# Rational Embeddings

Theorem (Brunner and Sidki 1998)

$GL(n, \mathbb{Z})$  embeds into  $\mathcal{R}$  for all  $n \geq 1$ .

Theorem (Silva and Steinberg 2005)

The generalized lamplighter groups  $\mathbb{Z}_n \wr \mathbb{Z}$  embed into  $\mathcal{R}$ .

Theorem (Bartholdi and Šunić 2006)

The Baumslag-Solitar groups  $BS(1, n)$  embed into  $\mathcal{R}$ .

Theorem (B, Bleak 2014)

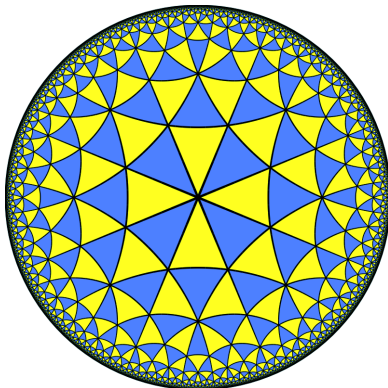
The higher-dimensional Thompson groups  $nV$  embed into  $\mathcal{R}$ .



# Rational Embeddings

Main Theorem (B, Bleak, and Matucci 2018)

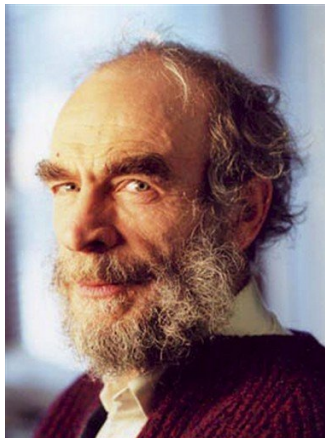
*All hyperbolic groups embed into  $\mathcal{R}$ .*



# Hyperbolic Groups

# Hyperbolic Groups

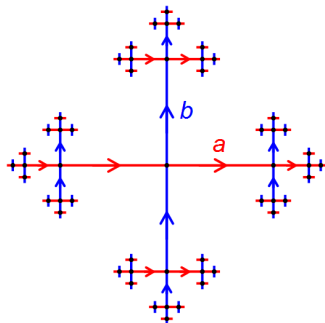
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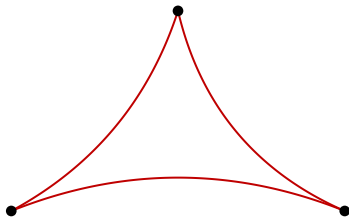
A group  $G$  is viewed geometrically as a **Cayley graph**.  
Shortest-length paths in the graph are **geodesics**.

Certain “large-scale” properties of manifolds also make sense for graphs (and hence groups).

# Hyperbolic Groups

Let  $\Gamma$  be a locally finite graph, and let  $\delta > 0$ .

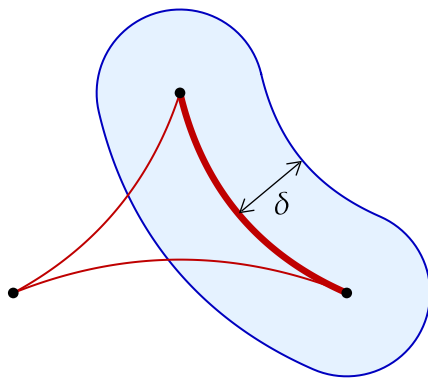
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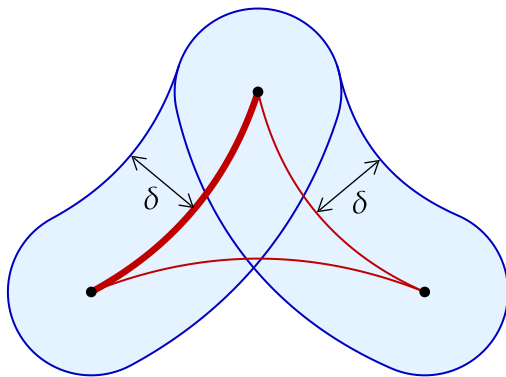
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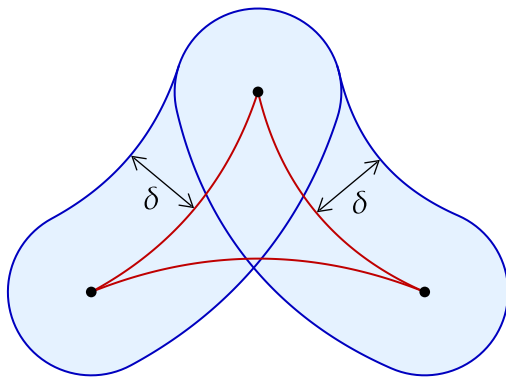




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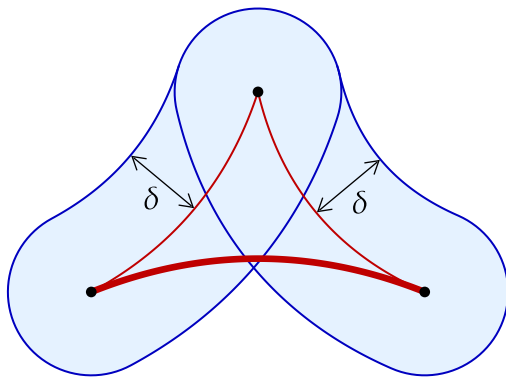
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## Definition (Gromov)

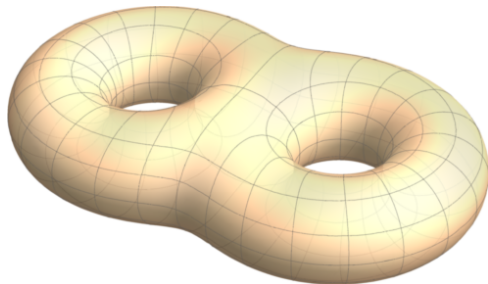
A finitely-generated group  $G$  is **hyperbolic** if its Cayley graph is hyperbolic.

**Note:** This does not depend on the generating set.

# Hyperbolic Groups

## Examples

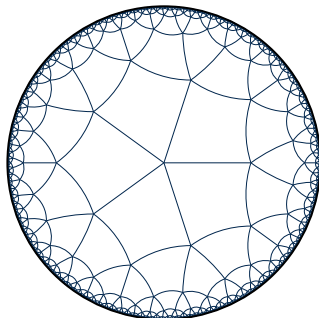
- ▶ Fundamental groups of negatively curved compact manifolds.



# Hyperbolic Groups

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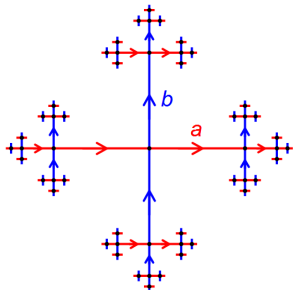
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# Hyperbolic Groups

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# Hyperbolic Groups

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## Principle (Gromov)

*“Almost all” finitely presented groups are hyperbolic.*



# Hyperbolic Boundaries

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Every hyperbolic group  $G$  has a ***boundary at infinity***  $\partial G$ .

## Properties:

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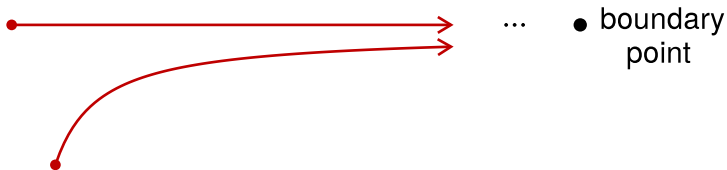


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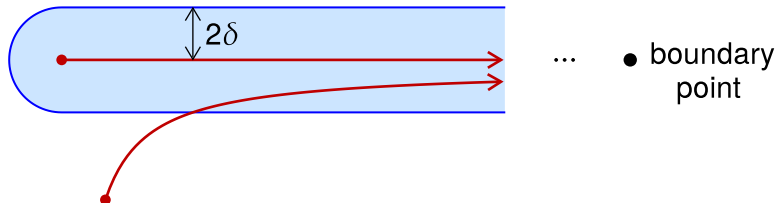


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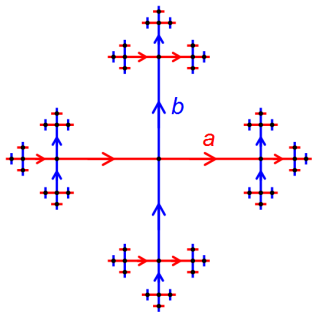
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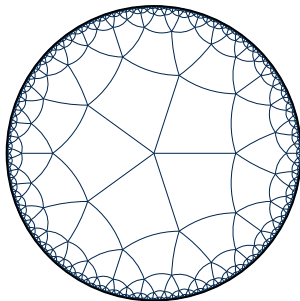
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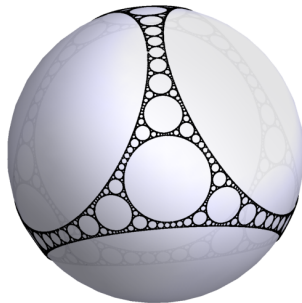
- ▶ If  $G$  is a free group, then  $\partial G$  is its Cantor set of leaves.
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- ▶ For a “typical” hyperbolic group, the boundary is a fractal.





# Rational Actions

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Let  $X$  be a compact metrizable space.

## Definition (Binary Addresses)

A **system of binary addresses** for  $X$  is a quotient map

$$q: \{0, 1\}^\omega \rightarrow X.$$

For example, the usual binary number system defines a quotient map

$$q: \{0, 1\}^\omega \rightarrow [0, 1].$$

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## Theorem (Well-Known)

*Every compact metrizable space has a system of binary addresses.*

# Rational Actions

Let  $G$  be a group acting by homeomorphisms on a compact metrizable space  $X$ .

## Definition (Rational Action)

The action of  $G$  on  $X$  is **rational** if there exists a quotient map  $q: \{0, 1\}^\omega \rightarrow X$  and a homomorphism  $\varphi: G \rightarrow \mathcal{R}$  such that the diagram

$$\begin{array}{ccc} \{0, 1\}^\omega & \xrightarrow{\varphi(g)} & \{0, 1\}^\omega \\ q \downarrow & & \downarrow q \\ X & \xrightarrow{g} & X \end{array}$$

commutes for all  $g \in G$ .

# Rational Actions

**Observation:** A group  $G$  embeds into  $\mathcal{R}$  if and only if  $G$  acts faithfully and rationally on some compact metrizable space.

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## Main Theorem (B, Bleak, Matucci 2018)

*Let  $G$  be a hyperbolic group. Then the action of  $G$  on  $\partial G$  is rational.*

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**Note:** Sometimes the action isn't faithful, but  $G$  always does act faithfully on  $\partial(G * \mathbb{Z})$ , and thus always embeds into  $\mathcal{R}$ .

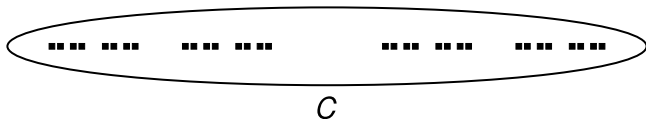


# Self-Similar Trees

## Some Terminology

By definition,  $\mathcal{R}$  acts on the binary Cantor set  $C = \{0, 1\}^\omega$ .

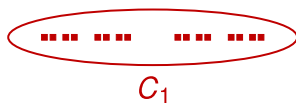
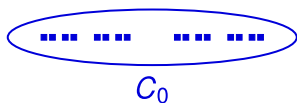
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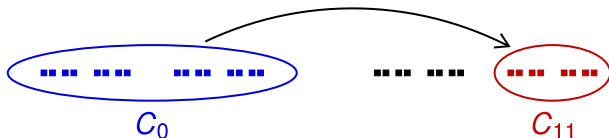
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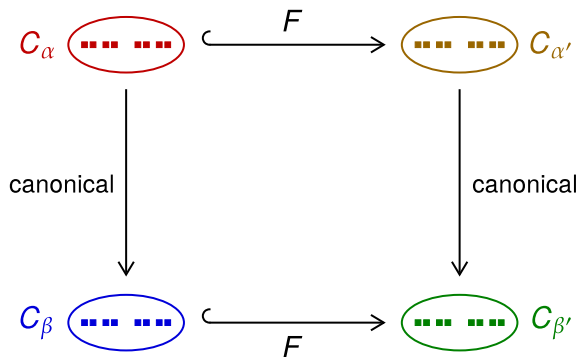
Any two branches of  $C$  have a **canonical homeomorphism** between them.



# Geometric Characterization of $\mathcal{R}$

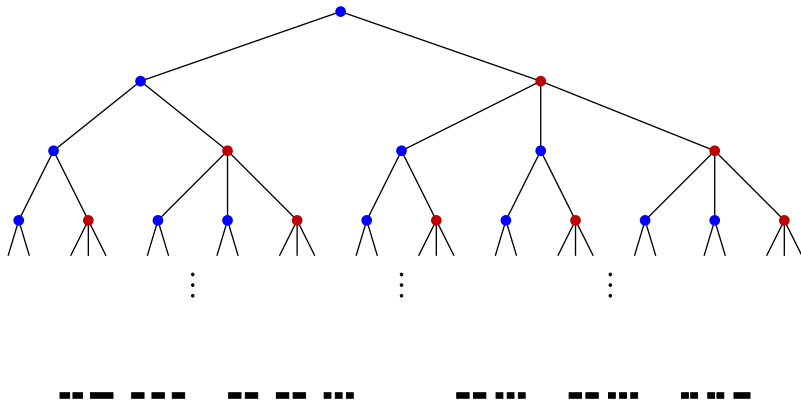
Theorem (Grigorchuk, Nekrashevych, Sushchanskiĭ)

*A homeomorphism  $F: C \rightarrow C$  is rational if and only if it has finitely many local actions on the branches of  $C$ .*



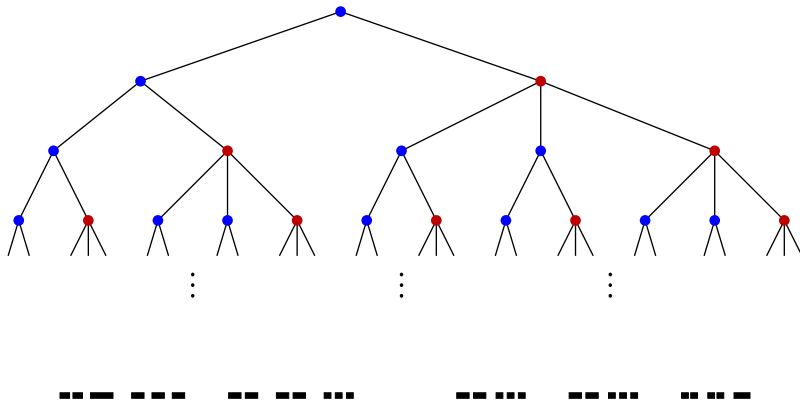
# Self-Similar Trees

We will need to use a more general class of Cantor spaces.



# Self-Similar Trees

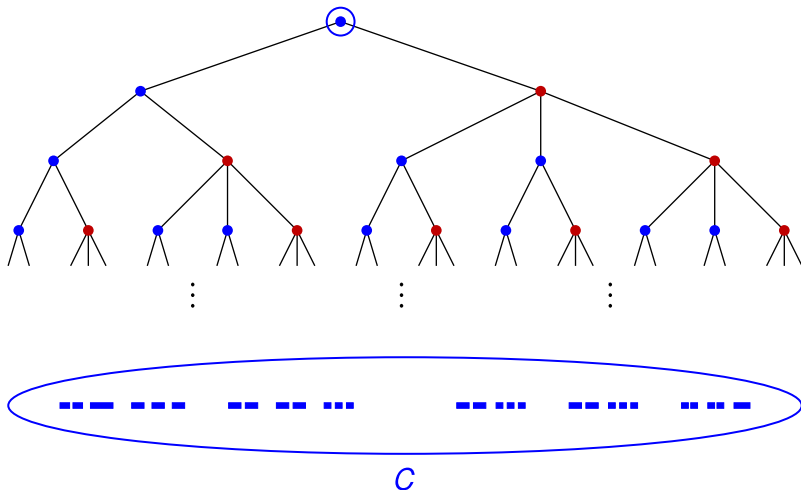
A **self-similar tree** has finitely many **types** of vertices:





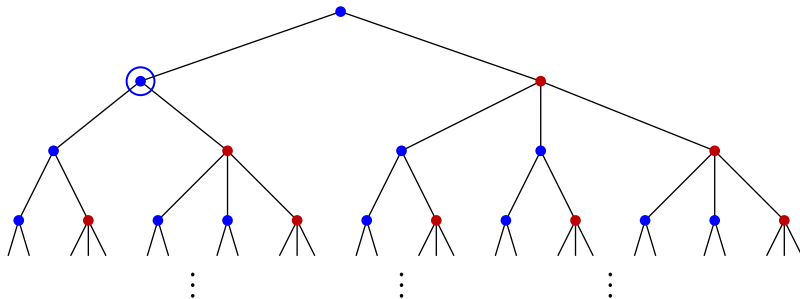
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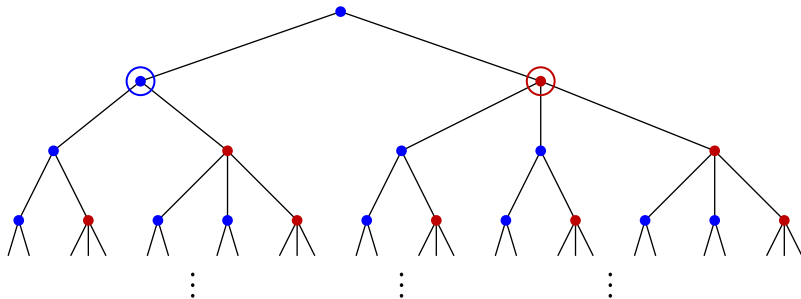
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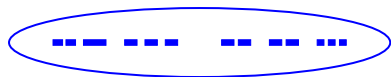
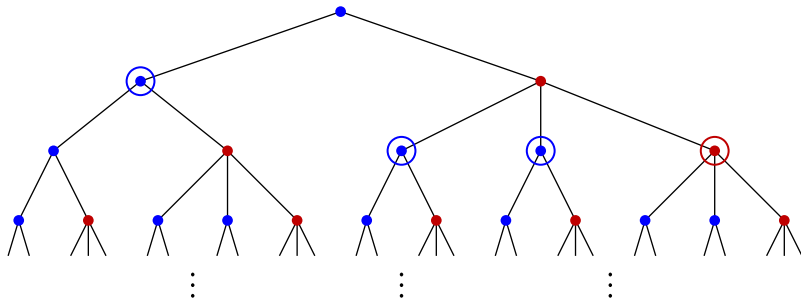
$C_0$



$C_1$

# Self-Similar Trees

A **self-similar tree** has finitely many **types** of vertices:



$C_0$



$C_{10}$



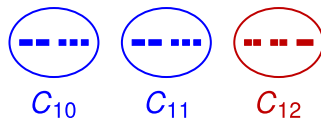
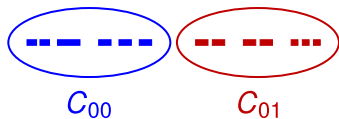
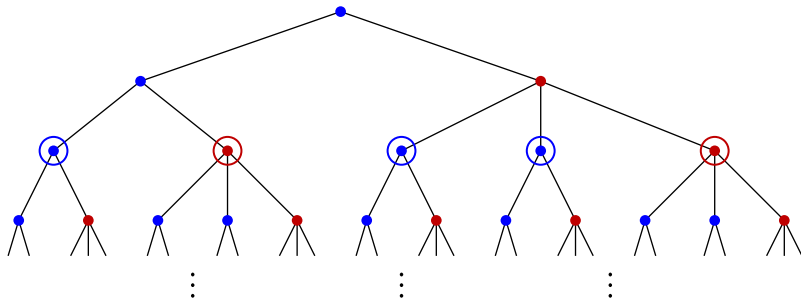
$C_{11}$



$C_{12}$

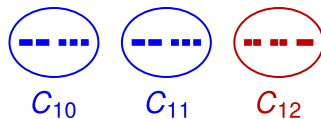
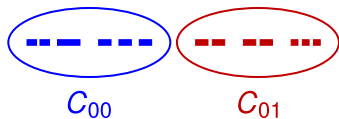
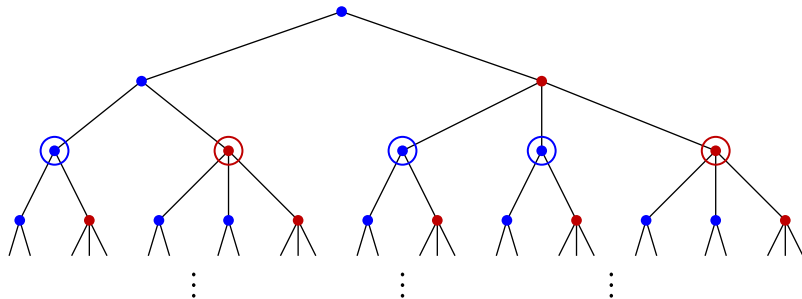
# Self-Similar Trees

A **self-similar tree** has finitely many **types** of vertices:



# Self-Similar Trees

We also allow finite sets of canonical homeomorphisms.



# Self-Similar Trees

## Definition (Rational Homeomorphism)

Let  $C$  be the space of leaves of a self-similar tree. A homeomorphism  $F: C \rightarrow C$  is **rational** if it has finitely many different local actions.

Here  $F$  has the **same local action** on  $C_1$  and  $C_2$  if there exist

$$C'_1 \supseteq F(C_1) \quad \text{and} \quad C'_2 \supseteq F(C_2)$$

and canonical homeomorphisms  $\varphi$  and  $\psi$  making the following diagram commute:

$$\begin{array}{ccc} C_1 & \xrightarrow{F} & C'_1 \\ \varphi \downarrow & & \downarrow \psi \\ C_2 & \xrightarrow{F} & C'_2 \end{array}$$

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## Theorem (B, Bleak, Matucci)

*As long as  $C$  has no isolated points, the group  $\mathcal{R}_C$  of rational homeomorphisms of  $C$  is isomorphic to  $\mathcal{R}$ .*

Indeed,  $\mathcal{R}_C$  is conjugate to  $\mathcal{R}$  by a homeomorphism  $C \rightarrow \{0, 1\}^\omega$ .

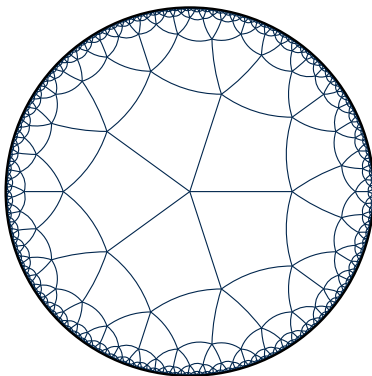


# The Tree of Atoms

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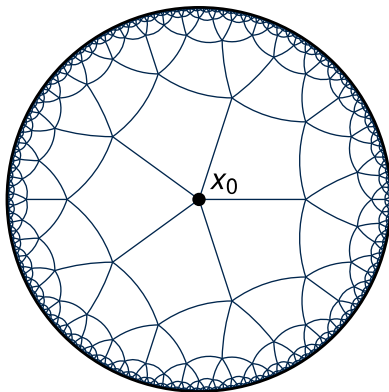
Let  $\Gamma$  be any hyperbolic graph (e.g. a Cayley graph).

We will construct a collection of subsets of  $\Gamma$  called “atoms”.



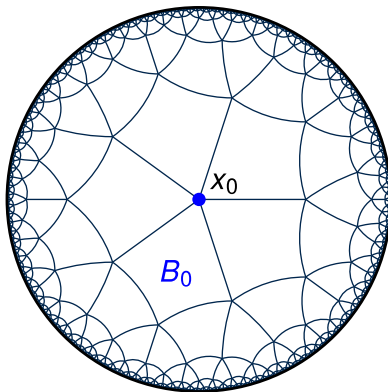
# The Tree of Atoms

Fix a **base vertex**  $x_0$  of  $\Gamma$ .



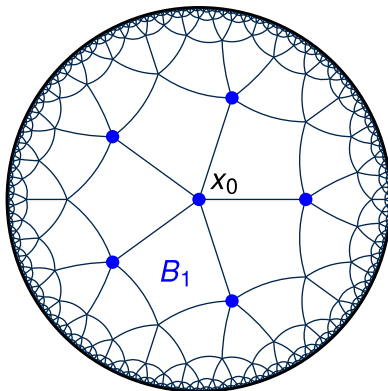
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Fix a **base vertex**  $x_0$  of  $\Gamma$ . Let  $B_n$  be the  $n$ -ball centered at  $x_0$ .



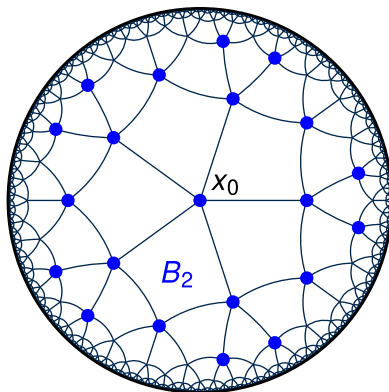
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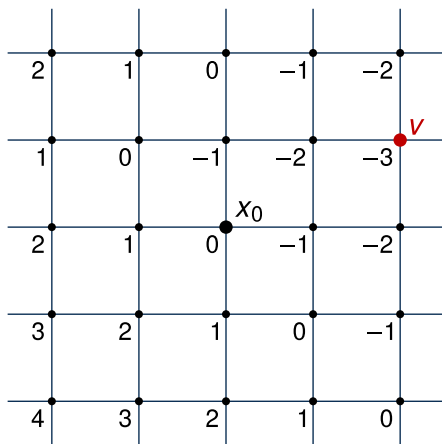
For each vertex  $v$  of  $\Gamma$ , define  $\bar{d}_v: \Gamma \rightarrow \mathbb{R}$  by

$$\bar{d}_v(x) = d(x, v) - d(x_0, v)$$

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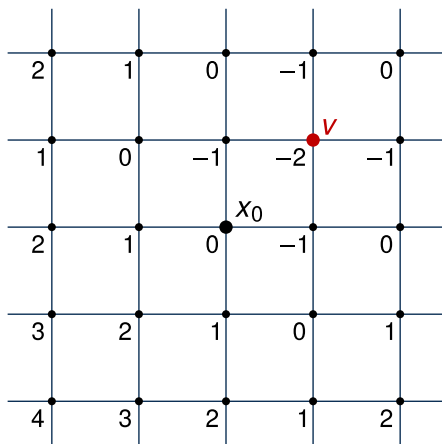




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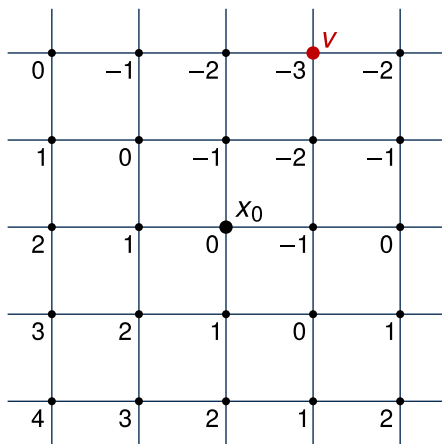
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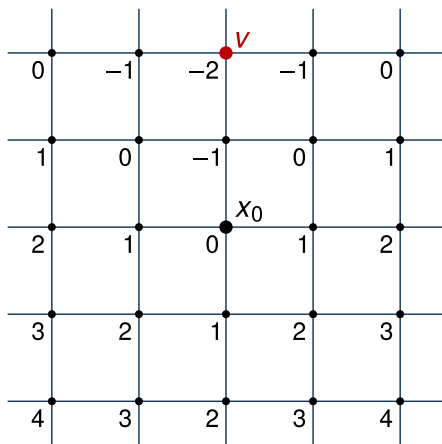
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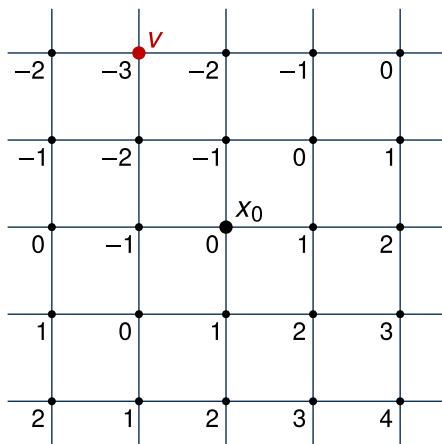
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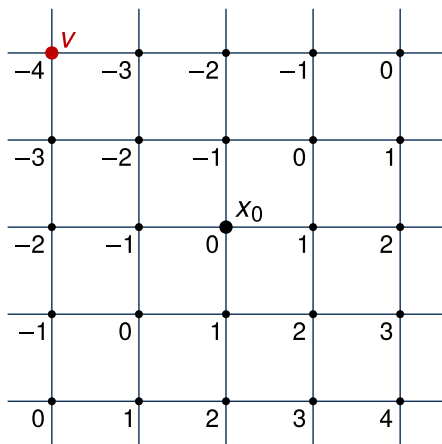
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## The Tree of Atoms

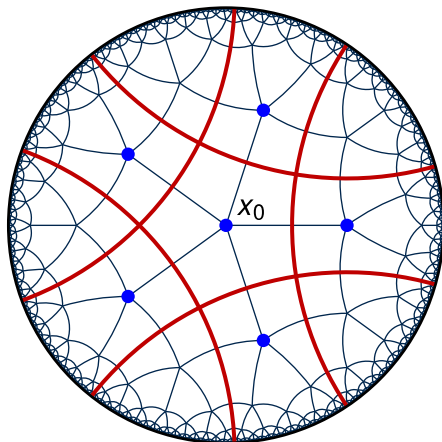
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The equivalence classes are the ***level  $n$  atoms***.

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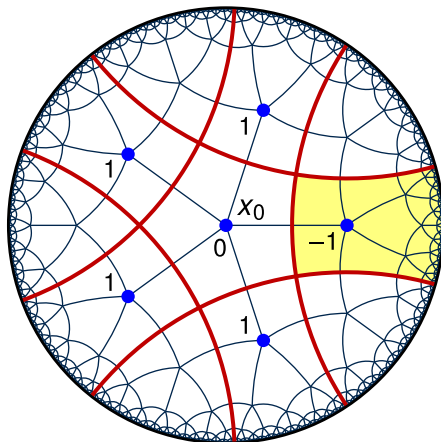
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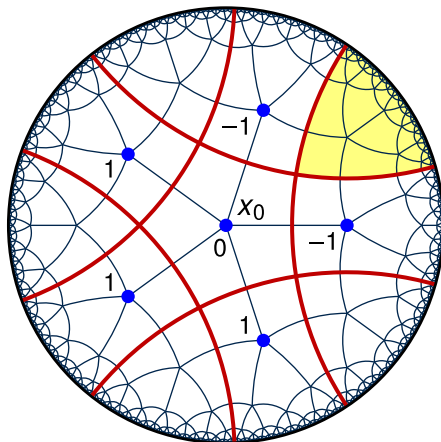




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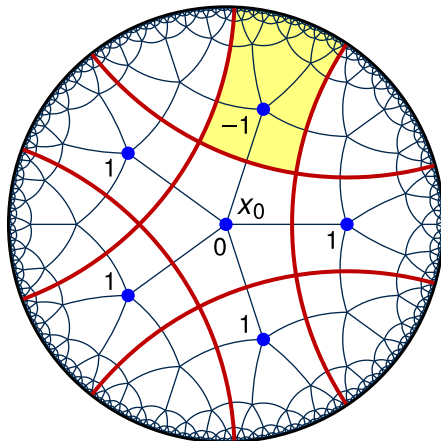
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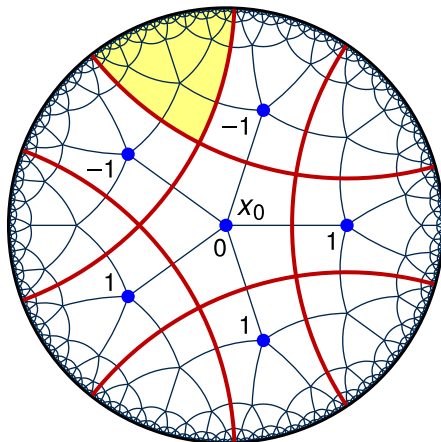
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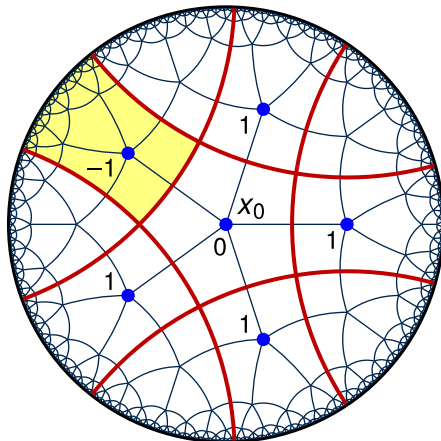
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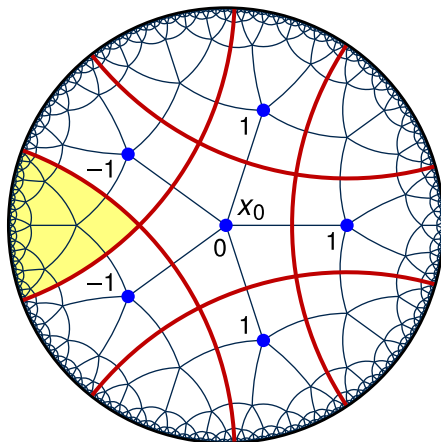
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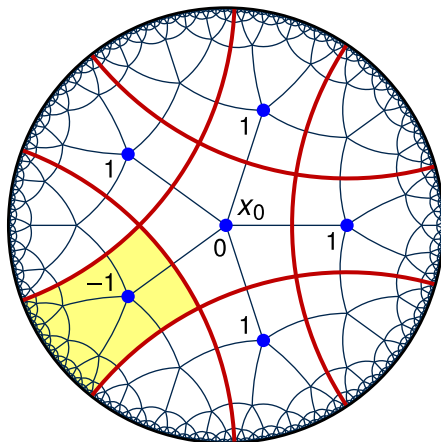
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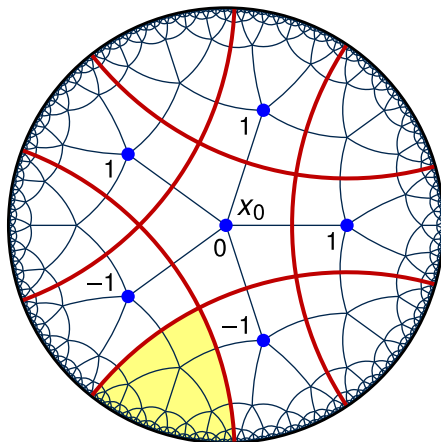
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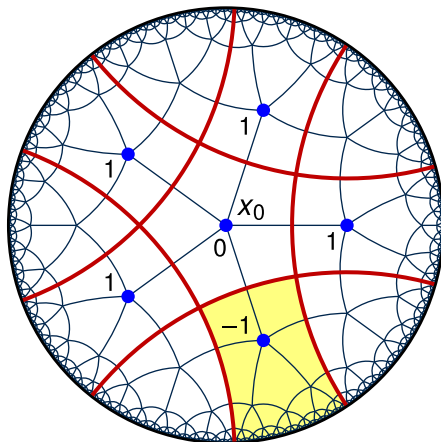
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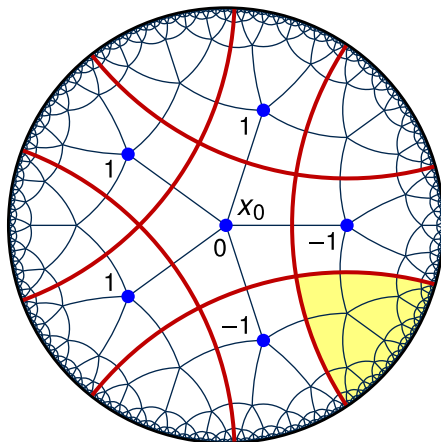




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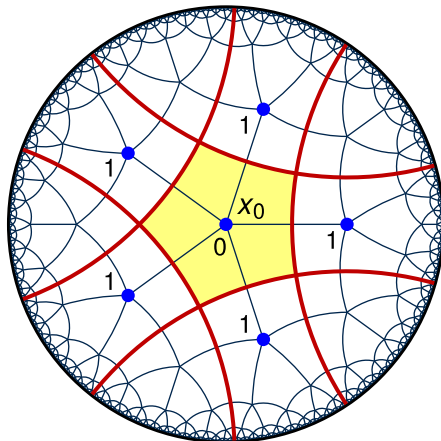
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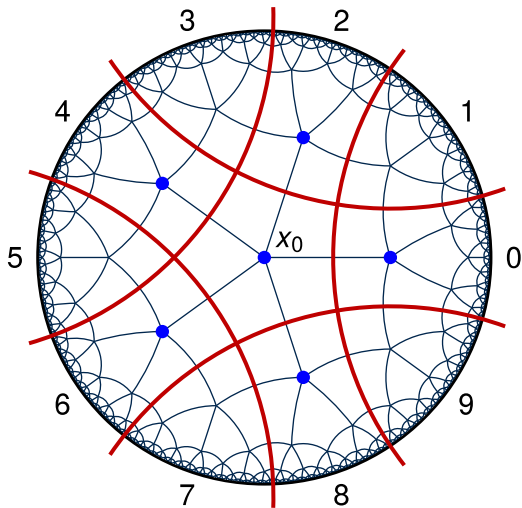
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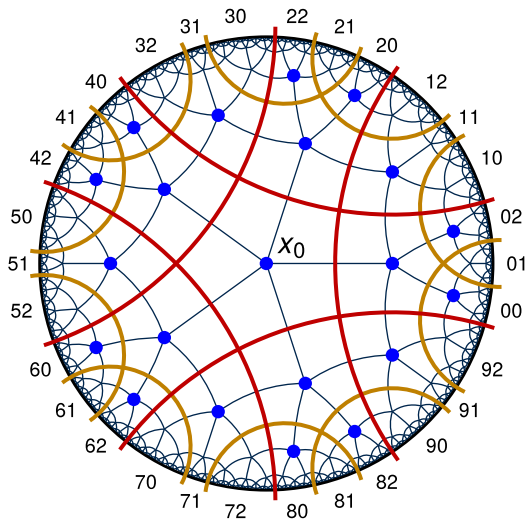
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# The Tree of Atoms

Let  $G$  be a hyperbolic group.

## Theorem (B, Bleak, Matucci)

*The collection of infinite atoms in  $G$  has the structure of a self-similar tree.*

The Cantor space of leaves is the **horofunction boundary**  $\partial_h G$  of  $G$ , which has  $\partial G$  as a quotient.

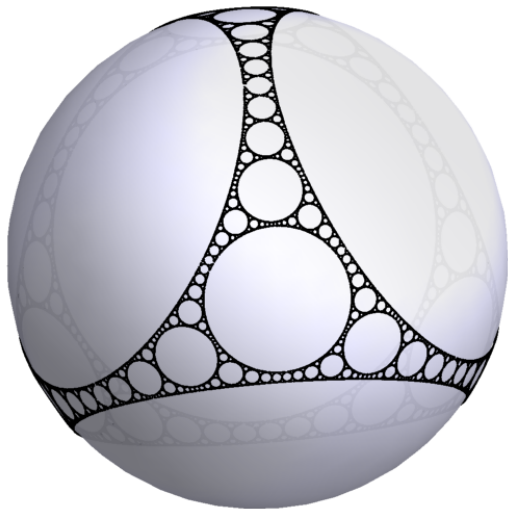
## Theorem (B, Bleak, Matucci)

*Elements of  $G$  act as rational homeomorphisms of  $\partial_h G$ .*

The proofs make essential use of hyperbolicity.

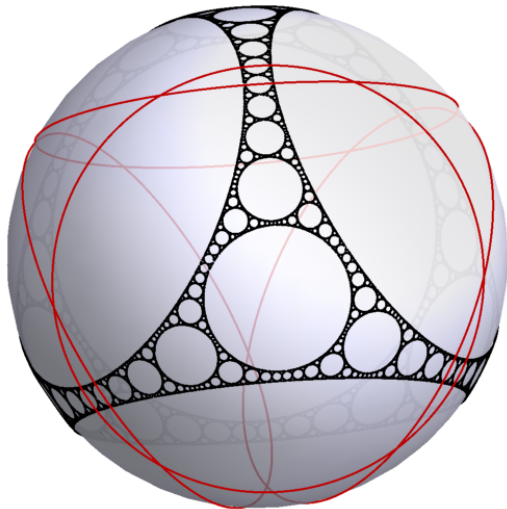
## Another Example

The group  $\langle r_1, r_2, r_3, r_4 \mid r_i^2 = 1, (r_i r_j)^6 = 1 \rangle$  has boundary homeomorphic to the Sierpiński carpet.



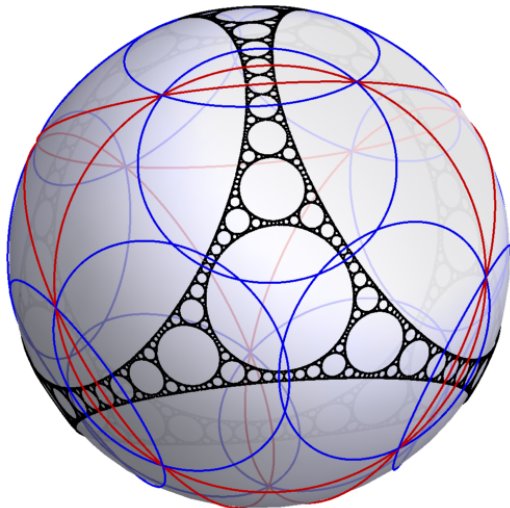
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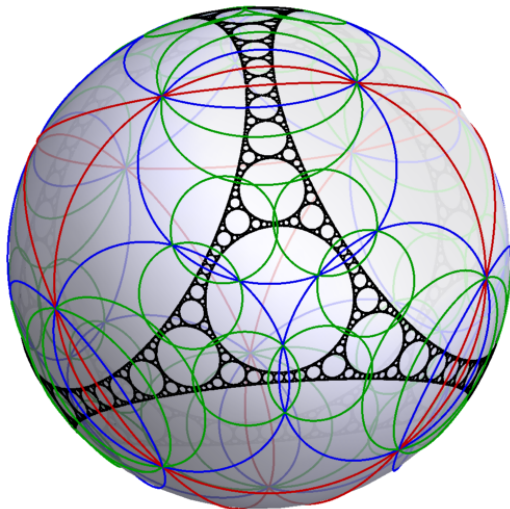
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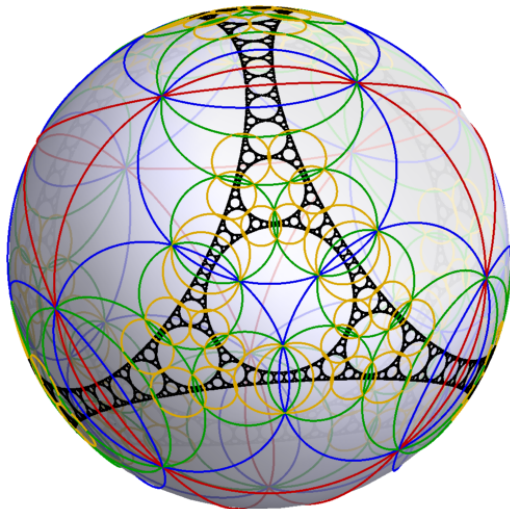
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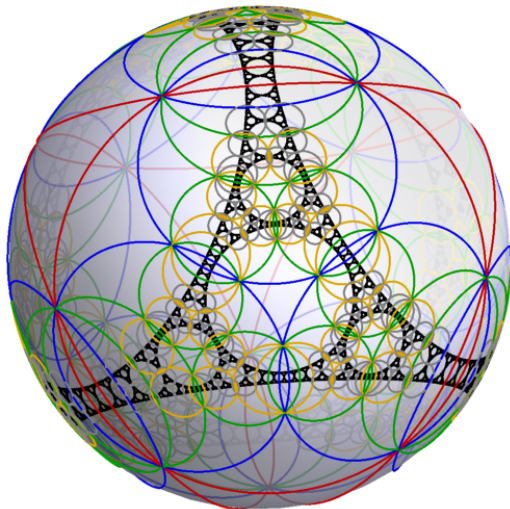
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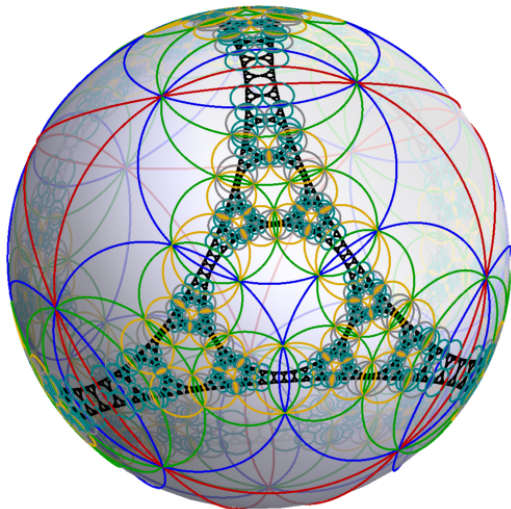
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# Questions

1. Do any of the following classes of groups embed into  $\mathcal{R}$ ?
  - ▶ CAT(0) groups
  - ▶ Braid groups
  - ▶ Mapping class groups
  - ▶  $\text{Out}(F_n)$
2. Does there exist a finitely generated subgroup of  $\mathcal{R}$  that contains all hyperbolic groups?
3. Is there an efficient algorithm to compute automata for the generators of a hyperbolic group?